



SECTOR  
UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/454,223	12/09/99	KORNBLUTH	R SD9-003-1

0242/0222

WILLIAM C FUESS  
FUESS & DAVIDENAS  
10951 SORRENTO VALLEY ROAD  
SUITE 11 G.  
SAN DIEGO CA 92121-1223

1643

DATE MAILED:

02/22/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

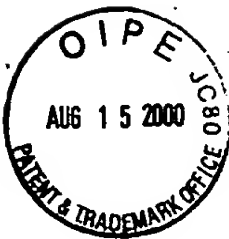
☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

DKing

Customer Service Center  
Initial Patent Examination Division (703) 308-1202



"EXPRESS MAIL" LABEL NO.: EJ079901247US

Date Mailed: December 9, 1999

The United States Patent and Trademark Office is requested to impress its stamp on this card, and to place same in the outgoing mail, to show that the following papers have been received:

Applicant(s): Richard Kornbluth  
For: MULTIMERIC FORMS OF TNF SUPERFAMILY LIGANDS  
Atty's File: SD9-003-1

Enclosed:

- Transmittal Letter (in duplicate)
  - Utility Patent Application containing pages 1-61; and 9 sheets of informal drawings plus
  - Patent Application Declaration and Power of Attorney
  - Verified Statement of Status as a Small Entity
  - Check for \$ 575 for (i) filing fee and (ii) assignment recordation
  - Certificate of Express Mailing (attached to transmittal letter)
- (ATTN ATTY'S DOCKET CLERK: NEW UTILITY PATENT APPLICATION FILING)

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Date 12/09/99

Pay to the Order of Commissioner of Patents & Trademark \$ 575.00  
by five Dollars

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12/09/99

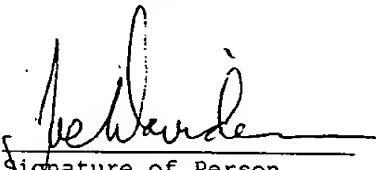


CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" mailing Label Number EJ079901247US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date typed below.

12/09/99  
Date

Joseph Davidenas  
Typed Name of Person  
Mailing Correspondence  
and Documents

  
Signature of Person  
Mailing Correspondence  
and Documents

PATENT

Attorney's Docket No.: SD9-003-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

Dear Sir:

Transmitted herewith for filing is the utility patent application of inventor Richard S. Kornbluth, entitled **MULTIMERIC FORMS OF THE SUPERFAMILY LIGANDS**. Enclosed are:

- X\_ A duplicate copy of this transmittal letter.
- X\_ One stamped, self-addressed, postcard for the PTO Mail Room date stamp.
- X\_ One utility patent application containing pages 1 - 61 , and
- X\_ a declaration or oath for the utility patent application including a power of attorney, and
- X\_ 1 copy of 7 sheets of informal drawings
- X\_ A verified statement relating to small entity status.



2. The filing fee has been calculated as shown below:

For:	No. Filed	No. Extra	Small Entity Rate	Fee
BASIC FEE				\$380
TOTAL CLAIMS	15 - 20 =	0	x \$ 9=	\$
INDEP. CLAIMS	8 - 3 =	0	x \$39=	\$195
MULTIPLE DEPENDENT CLAIM(S)			+\$120=	\$575

X\_ A check in the amount of \$575 to cover the filing fee is enclosed.

X\_ A duplicate copy of this letter is enclosed.

Sincerely yours,

William C. Fuess  
Registration No. 30,054  
Attorney for Applicant(s)

William C. Fuess  
Fuess & Davidenas  
Attorneys-at-Law  
10951 Sorrento Valley Rd.  
Suite II-G  
San Diego, California 92121-1223  
Telephone: (619) 452-8293  
Facsimile: (619) 452-8293



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/454223
	Filing Date	12/09/99
	First Named Inventor	Richard S. Kombluth
	Group Art Unit	1643
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	SD9-003-1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): 1) declaration 2) transmittal 3) PTO stamped postcard
<input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks This was an obvious error by the PTO	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FUESS & DAVIDENAS
Signature	<i>William C. Fuess</i>
Date	8/10/00

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 8/10/00	
Typed or printed name	Joseph Davidenas
Signature	<i>Joe Davidenas</i>
Date	8/10/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

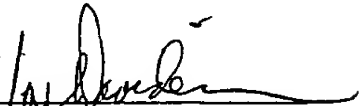


**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date written below.

Date: August 10, 2000

Joseph A. Davidenas  
Typed Name of Person  
Mailing Correspondence

  
Signature of Person Mailing  
Correspondence